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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Application of: Dryer, et al.

Serial No.: 10/007,893

**Confirmation No.:**

Filed: November 13, 2001

For: **SYSTEM FOR  
MAINTAINING THE  
SECURITY OF CLIENT  
FILES**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

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## Group Art Unit: Unknown

Examiner: Unknown

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**CERTIFICATE OF MAILING**

37 CFR 1.8

I hereby certify that this correspondence is being deposited on May 01, 2002 with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

May 01, 2002  
Date

Signature

## INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

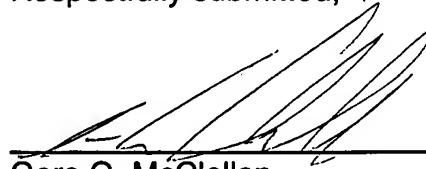
While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

37 CFR § 1.17(p) pursuant to § 1.97, he is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to Deposit Account No. 20-0782/JDRY/0002.

Respectfully submitted,



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T/DRYER/PTO/IDS Tramittal 5-1-02

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. JDRY/0002	Serial No. 10/007,893
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
(Use several sheets if necessary)		Applicant Dryer, et al.	Confirmation No.:
	Examiner Unknown	Filing Date 11/13/2001	Group

**U.S. Patent Documents**

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	A1	6,363,488	03/26/2002	Ginter et al.	713	201	06/07/1999
	A2	6,304,975	10/16/2001	Shipley	713	201	08/02/2000
	A3	6,119,236	09/12/2000	Shipley	713	207	12/10/1998
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	A5	5,917,912	06/29/1999	Ginter et al.	380	24	01/08/1997
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	A9	5,884,026	03/16/1999	Kong	395	188.01	07/17/1996
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	A12	4,701,840	10/20/1987	Boebert et al.	364	200	06/20/1986
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**Foreign Patent Documents**

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**OTHER ART**

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*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	Senner, "Anatomy of a Stateful Firewall," 5/9/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C2	<u>www.cyber-ark.com</u> , Products, "The Network Vault Concept," 2001
	C3	Austin, "The Divine Right of Kings: Domain Administrators and Your (In)secure Network," 8/17/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)

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OTHER ART							
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.					
	C4	Willert, "Best Computer Security Practices for Home, Home Office, Small Business, and Telecommuters," 10/22/2001, from <u>SANS Institute</u> Information Security Reading Room (online)					
	C5	Conry-Murray, "Cyber-Ark's PrivateArk Network Vault," 1/5/2001, <u>Network Magazine</u>					
	C6	"Client Security in the Enterprise Network: Dell's Perspective," 2/2000, <u>Dell Highlight</u>					
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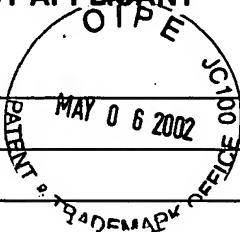
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	C7	Crider, "A 6-Layer Defense for an I.T. Professional's Home Network," 11/22/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C8	Sutherland, "4 <sup>th</sup> Generation of Linux Based Firewalls," 4/11/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C9	Leath, "Addressing and Implementing Computer Security for a Small Branch Office," 10/10/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)

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	C10	Wan, "Distributed Firewall," 5/28/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C11	Jirak, "Security Issues of Integrating a Stand-Alone System Into Corporate Network," 1/21/2002, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C12	Heinlein, "Linux/etc., Firewalling with iptables...", 2/2000, Vol. 10, No. 2, <u>Computer Bits</u>
	C13	Russell, "Best Defense: Network Security with Linux 2.4," 1/2000, <u>Linux Magazine</u>
	C14	Ozdemir, "Non-Malicious Destruction of Data," 8/23/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)

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	C15	Bell, "Security Strengths and Weaknesses of Two Popular Web Servers," 8/19/2001, <u>SANS</u> Institute Information Security Reading Room (on-line)					
	C16	NetScreen Technologies Inc., "Solving Business Problems with Virtual Private Networks; An overview of seven key issues for selecting, deploying and managing security technology," <u>www.ITworld.com</u> White Paper					
	C17	Kenshi, "Iptables Basics NHF," 12/29/2001, <u>www.Linuxnewbie.org</u>					
	C18	Robbins, "Linux 2.4 Stateful Firewall Design," <u>ibm.com/developerWorks</u>					

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	C19	"Personal Firewalls: Firewall Protection for PCs and Home Networks," 07/2001, Dell Vectors White Paper					
	C20	Halpern, et al., "SAFE VPN IPSec Virtual Private Networks in Depth," 2001, Cisco Systems White Paper					
	C21	Pisetsky, "Securing e-Commerce Web Sites," 1/18/2002, <u>SANS Institute</u> Information Security Reading Room (on-line)					
	C22	Gwaltney, "Protecting the Next Generation Network – Distributed Firewalls," 10/7/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)					

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*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C23	Geiger, "Proactively Guarding Against Unknown Web Server Attacks," 9/12/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C24	Sample PKI Comparison Column, 11/12/2001, <a href="http://www.security.gartner.com">www.security.gartner.com</a>
	C25	Wallace, "Personal Firewalls: Not Enough," 2/12/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C26	Alberts, "OCTAVE <sup>SM</sup> Threat Profiles," Software Engineering Institute, Carnegie Mellon University

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*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C27	"Internet Risk Impact Summary for December 22, 2001 through March 21, 2002," X-Force™ Global Threat Operations Center, Internet Security Systems
	C28	Lawson, "Hardening in the Enterprise: Always an After Thought?," 4/9/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C29	Simmons, "Firewall Network Appliance," 10/10/2000, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C30	Curtin, "Snake Oil Warning Signs: Encryption Software to Avoid," 4/10/1998, <a href="http://www.interhack.net">www.interhack.net</a>

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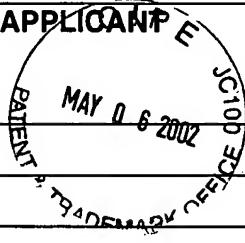
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C31	Flanagan, "Egress Filtering – Keeping the Internet Safe from Your Systems," 4/30/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C32	"Disk Mirroring – Local or Remote," 2001, CNT White Paper
	C33	"Detecting Signs of Intrusion," 2000, a CERT® Security Improvement Module, Software Engineering Institute, Carnegie Mellon University
	C34	Grotevant, "Basic Apache Security Considerations," 5/20/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)

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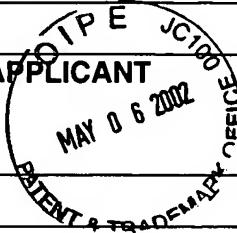
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	C35	Bridge, "Achieving Defense-in-Depth with Internal Firewalls," 8/15/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)		
	C36	Bace, et al., "Intrusion Detection Systems," National Institute of Standards and Technology Special Publication		
	C37	"Principles of Secure Network Design," NetScreen Technologies, Inc. White Paper		
	C38	Amaladoss, "Managed Security Services – An Evolving Security Solution!," 3/8/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)		

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	C39	Brockway, "Layered Security: An ISP Case Study with Cisco and Solaris," 10/19/2000, <u>SANS Institute</u> Information Security Reading Room (on-line)
	C40	Fratto, "Integrated Security Suites," 12/4/2000, Security, <u>www.infowar.com</u>
	C41	Poole, et al., "Tips for Tracking the E-Mail Trail," 1/2001, Computer Security, <u>www.securitymanagement.com</u>
	C42	Mueller, et al., "To Catch a Thief," 8/20/2001, <u>www.infowar.com</u>

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